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#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:  
Jose Sancho Royo, et al.

Serial No.: 08/961,956

Filed: 10/31/97

For: "CATALYTIC SYSTEMS FOR THE  
POLIMERISATION AND COPOLIMERISATION  
OF ALPHA-OLEFINS"

Group Art Unit: 1106

Examiner: unknown

Our Ref.: B-3379 616282-6

Date of this paper:

June 18, 1998

Commissioner of Patents and Trademarks  
BOX MISSING PARTS  
Washington, D.C. 20231

Sir:

COMPLETION OF FILING REQUIREMENTS

Responsive to office letter dated February 13, 1998 (copy attached).

DECLARATION OR OATH

[X] Please find enclosed the original declaration or oath

AMENDMENT OF CLAIMS

[ ] Please cancel claims \_\_\_\_\_ inclusive

[ ] Please find enclosed an amendment deleting multiple dependencies

NOTE: The filing fees, fees for and surcharge fees listed below are reduced by 50% where proof of a small entity status is established on or before the date the fee is paid. If the full fee is paid but a verified statement is filed within two months of the date of payment of the first fee then the excess fee paid will be refunded on request. Notice of January 20, 1983. 1027 TMOG 114.

FEES

[X] Filing fee (37 CFR 1.16(a) - 790.00) \$790.00  
small entity - 395.00)

[ ] Fees for claims

[ ] Independent claims in excess of 3  
(37 CFR 1.16(b) - 82.00)  
small entity - 41.00) \_\_\_\_\_

[ ] Each claim in excess of 20  
(37 CFR 1.16(c) - 22.00)  
small entity - 11.00) \_\_\_\_\_

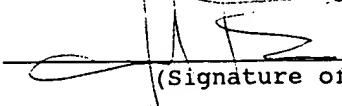
CERTIFICATE OF MAILING (37 CFR 1.8a)

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first-class mail in an envelope addressed to the:

1998 SCARMICH 00000056 0001 MISSING PARTS  
101 Washington, D.C. 20231  
105 790.00 00

John Palmer  
(Typed or printed name of person mailing paper)

Date: June 18, 1998.

  
(Signature of person mailing paper)

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[ ] Multiple dependent claim(s)  
(37 CFR 1.16(d) - 270.00)  
small entity - 135.00)

[X] Surcharge fees

[X] Late payment of filing fee  
(37 CFR 1.16(e) - 130.00)  
small entity - 65.00)

AND

[X] Late filing of declaration or oath  
(37 CFR 1.16(e) - 130.00) \$130.00  
small entity - 65.00)

[ ] Petition fee for filing by person not  
the inventor (37 CFR 1.17(h) \$120.00)

[ ] Recording assignment  
(37 CFR 1.21(h)(i) 40.00)

[ ] Attached is an assignment to \_\_\_\_\_  
\_\_\_\_\_

Total fees: \$920.00

SMALL ENTITY STATUS

[ ] A verified statement that this filing is by a small entity is

[ ] Attached [ ] Already filed on \_\_\_\_\_

PAYMENT OF FEES

[X] Enclosed is a check in the amount of \$920.00.

[ ] Charge Account No. 12-0415 in the amount of \$.  
A duplicate of this request is attached.

NOTE: Fees should be itemized in such a manner that it is clear for  
which purpose the fees are paid. 37 CFR 1.22(b).

AUTHORIZATION TO CHARGE ADDITIONAL FEES

[X] The Commissioner is hereby authorized to charge the following  
additional fees which may be required to Account No. 12-0415.

[X] 37 CFR 1.16 (filing fees and presentation of extra claims)

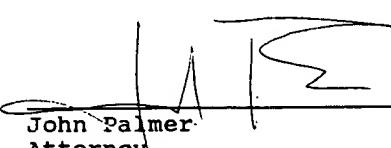
[X] 37 CFR 1.17 (application processing fees)

[ ] 37 CFR 1.18 (issue fee at or before mailing of Notice of  
Allowance, pursuant to 37 CFR 1.311(b)).

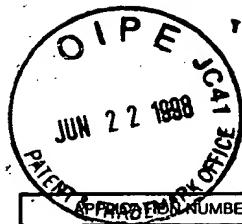
NOTE: 37 CFR 1.28(b) requires "Notification of any change in status  
resulting in loss of entitlement to small entity status must  
be filed in the application... prior to paying... issue fee."

Registration No.: 36,885

Telephone No.: (213) 934-2300  
Facsimile No.: (213) 934-0202

  
John Palmer  
Attorney

c/o LADAS & PARRY  
5670 Wilshire Boulevard  
Suite 2100  
Los Angeles, California 90036-5679



Sector JBB

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

PATENT APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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08/961,956 10/31/97 ROYO

J B-3379-6162B

0242/0213

4/13/98

RICHARD P BERG  
LADAS & PARRY  
5670 WILSHIRE BOULEVARD  
LOS ANGELES CA 90036

NOT ASSIGNED

1106  
DATE MAILED:

02/13/98

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
*Filing Date Granted*

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted ALONG WITH THE PAYMENT OF A SURCHARGE for items 1 and 3-6 only of \$ 130.00 for a  large entity  small entity in compliance with 37 CFR 1.27. The surcharge is set forth in 37 CFR 1.16(e). Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

*If all required items on this form are filed within the period set above, the total amount owed by applicant as a*  
 **large entity**  **small entity (verified statement filed)**, is \$ 920.00

1. The statutory basic filing fee is:

missing.  
 insufficient.

*Applicant must submit \$ 790.00 to complete the basic filing fee and/or file a verified small entity statement claiming such status (37 CFR 1.27).*

2. Additional claim fees of \$ \_\_\_\_\_, including any multiple dependent claim fees, are required.  
*Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.*

3. The oath or declaration:

is missing.  
 does not cover the newly submitted items.  
 does not identify the application to which it applies.  
 does not include the city and state or foreign country of applicant's residence.

*An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.*

4. The signature(s) to the oath or declaration is/are:

missing.  
 by a person other than inventor or person qualified under 37 CFR 1.42, 1.43, or 1.47.

*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*

5. The signature of the following joint inventor(s) is missing from the oath or declaration:

*An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.*

6. A \$ \_\_\_\_\_ processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

7. Your filing receipt was mailed in error because your check was returned without payment.

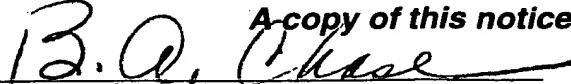
8. The application does not comply with the Sequence Rules.

*See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."*

9. OTHER:

Direct the response and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the response.**

  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202